

06-18-04

JFW

June 17, 2004

Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: Applicant(s): Nielsen, James T. et al  
Assignee: Cardica, Inc.  
Title: Surgical Apparatus and Method for Anastomosis  
Serial No.: 10/750,712  
Examiner: Not yet assigned  
Docket No.: 149  
Filed: December 31, 2003  
Group Art Unit: N/A

Dear Sir:

Transmitted herewith are the following documents in the above-identified application:

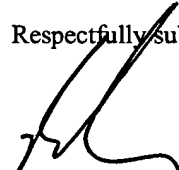
- (1) This Transmittal Letter;
- (2) Information Disclosure Statement;
- (3) PTO form 1449; and
- (4) Return postcard.

- ☒ No additional fee is required.
- ☒ Also, charge any additional fees required and credit any overpayment to our Deposit Account No. 502108

Total: \$ 0.00

**EXPRESS MAIL LABEL NO.**  
**EV430390606US**

Respectfully submitted,

  
Brian A. Schar  
Attorney for Applicant(s)  
Reg. No. 45,076



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Nielsen, James T. et al.  
Assignee: Cardica, Inc.  
Title: Surgical Apparatus and Method for Anastomosis  
Serial No.: 10/750,712 Filing Date: 12/31/2003  
Examiner: Not yet assigned Group Art Unit: N/A  
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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §  
1.97(b)**


Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In compliance with the Applicant's duty under 37 CFR § 1.56, the information listed on the accompanying form PTO-1449 is brought to the attention of the Examiner.

This IDS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b), and thus no petition or fee is required.

Respectfully submitted,

  
Brian A. Schar  
Attorney for Applicant(s)  
Reg. No. 45,076  
Cardica, Inc.  
900 Saginaw Dr.  
Redwood City 94063

<b>FORM PTO-1449</b>		<b>ATTY. DOCKET NO.</b> 149	<b>SERIAL NO.</b> 10/750,712
<b>LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)		<b>APPLICANT:</b> Nielsen, James T. et al.	
		<b>FILING DATE:</b> 12/31/2003	<b>GROUP:</b> N/A

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	AA	6,209,773	9/7/1999	BOLDUC			
	AB	5,976,159	2/8/1996	BOLDUC			
	AC	5,662,258	7/11/1996	KNODEL			
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
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	AM						
	AN						
	AO						
	AP						
	AQ						
	AR						
	AS						
	AT						
	AU						
	AV						
	AW						
	AX						

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO
	AY						

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

	AZ	
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**EXAMINER:**

**DATE CONSIDERED:**

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant